



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/473,047	12/28/1999	FUMIHIRO NAMIKI		9541

21171 7590 06/02/2003

STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001

EXAMINER

ROY, SIKHA

ART UNIT	PAPER NUMBER
----------	--------------

2879

DATE MAILED: 06/02/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/473,047

Applicant(s)

NAMIKI ET AL.

Examiner

Sikha Roy

Art Unit

2879

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 20 March 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☐ Claim(s) \_\_\_\_\_ is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 3,4 and 6-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

Art Unit: 2879

### **DETAILED ACTION**

The Amendment, filed on March 20, 2003 has been entered and is acknowledged by the Examiner.

Cancellation of claims 1,2 and 5 has been entered.

#### ***Claim Objections***

Claim 3 is objected to because of the following informality:

In claim 3 line 4, 'omitting blue' should be replaced by --emitting blue--.

Appropriate correction is required.

#### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S.

Patent 5,892,492 to Osawa et al.

Regarding claim 3 Osawa et al. disclose (column 3 lines 30-60, column 4 lines 20-32 Figs. 1 and 2) a gas discharge display (plasma display) apparatus having neon gas sealed in the discharge space for exciting fluorescent materials emitting red, green and blue colors provided inside the cells and an optical (wave band selecting) filter 11 formed over the screen for selectively absorbing light. Osawa further discloses (Fig.11)

Art Unit: 2879

the optical filter has characteristics in which first and second peak absorbencies exist in the visible wavelength range, the wavelength of the first peak absorbency has a value at about 585 nm and the wavelength of the second peak absorbency is at about 500 nm.

Regarding claim 3, Osawa discloses the claimed invention except for the limitation of the second absorbency peak existing at a wavelength corresponding to wavelength of the fluorescent material emitting green color. It is noted (column 7 lines 5-9) that the wavelengths and depths of the absorbency peaks depend on the type and mixing ratio of the organic materials to be mixed for the optical filter and hence it is possible to make design variable according to emission spectra of fluorescent substance. Osawa discloses the second peak of the dip at around 500 nm and the wavelength corresponding to green color is at about 535 nm. It has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. Thus, it would have been obvious to one of ordinary skills in the art at the time the invention was made to modify the mixing ratio of the organic material in the optical filter of Osawa for providing the second dip occurring at 535 nm, since discovering an optimum value of a result variable is considered within the skills of the art.

Claims 3, 4, 6 -18 are rejected under 35 U.S.C. 103(a) as being unpatentable over U. S. Patent 5,892,492 to Osawa et al. in view of U. S. Patent 5,218,268 to Matsuda et al.

Art Unit: 2879

Regarding claim 3 Osawa et al. do not disclose the second peak of absorbency occurring at 535 nm corresponding to the wavelength of the green emitting fluorescent material.

Matsuda et al. in relevant art of optical filter disclose (column 4 lines 39-66) an optical filter with characteristic of minimum transmissivity at the wavelength of 585nm ( $T_{\min} = 575 \pm 20$  nm). A maximum transmissivity occurs at the wavelength of about 450 nm to 620nm (620nm being less than 630 nm, the range of 450 to 620 nm is included in the range of 450 to 630 nm) and an intermediate transmissivity is attained at the wavelength of 530nm. Matsuda et al. teach that the characteristic of the optical filter is such that the following relations are satisfied :  $T_{585} < T_{450}$  and  $T_{585} < T_{620}$  and  $T_{530} < T_{450}$  where  $T_{585}$  being the transmittance at the wavelength 585 ,  $T_{450}$  being the transmittance at the wavelength 450nm,  $T_{530}$  being the transmittance at the wavelength 530 nm and  $T_{620}$  being the transmittance at the wavelength 620nm. It is further noted that decrease in brightness can be prevented including this optical filter with excellent light selective transmissivity (column 2 lines 50-54) and hence contrast in display can be improved efficiently.

Therefore it would have been obvious to one having ordinary skill in the art at the time of invention to modify the optical filter of Osawa et al. by the characteristic light selective transmissivity and wavelength dependent absorptivity of the filter providing second dip (intermediate transmission) at 530 nm as taught by Matsuda et al. to prevent reduction in brightness and improve contrast and color purity in the display.

Referring to claim 4, Osawa et al. disclose in Fig. 11 that the transmittance at a wavelength of 585 nm (corresponding to the first dip at 585 nm) is smaller than each of transmittance at a wavelength of 450 nm and a transmittance at a wavelength of 620 nm. Osawa and Matsuda et al. further disclose the transmittance of the optical filter for the wavelength of 525 nm is less than the transmittance for the wavelength of 450nm.

Referring to claim 4, Osawa et al. in view of Matsuda et al. disclose the claimed invention except for the transmittance  $T_{530}$  at the wavelength of 530 instead of the transmittance at wavelength of 525. It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the transmittance at the wavelength 525 since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 205 USPQ 215 (CCPA 1980). Hence claim 4 is rejected as the transmittance  $T_{525}$  of the optical filter is smaller than  $T_{450}$  other limitations being same as that of claims 2 and 3.

Referring to claim 18, Matsuda et al. disclose the following relationships

$$T_{585} < T_{450} \text{ and } T_{585} < T_{620} \text{ and}$$

$$0.7 \leq (T_{450})/(T_{620}) \leq 1.43.$$

Combining these relations it can be shown that the transmittance  $T_{585}$  is smaller than 0.7 times  $T_{450}$ .

Referring to claim 6, combining the limitations of claim 4 (transmittance  $T_{525}$  is smaller than  $T_{450}$ ) and claim 5 ( $T_{585}$  is smaller than 0.7 times  $T_{450}$ ) the transmittance  $T_{585}$  is smaller than  $T_{525}$ .

Art Unit: 2879

Referring to claims 7 and 9, Osawa et al. disclose (column 6 lines 13-15) that the optical filter 11 in the plasma display panel is provided on the surface of the front glass substrate.

Referring to claim 8, Osawa et al. disclose (column 6 line 15) the optical is formed on the surface of the front glass by a process of thin film coating.

Referring to claim 10, Osawa et al. disclose (column 6 lines 49-51) the optical filters made of organic material such as polyimide resin having superior transmittance and having absorption maximum in the wavelength range of 500 to 550nm and 560 to 620nm.

Referring to claim 11, Osawa et al. disclose (column 6 lines 29,30) the optical filter can be made more effective by subjecting the filter to non-glare treatment.

Referring to claim 12, Osawa et al. in view of Matsuda et al. disclose the invention substantially claimed with the exception of the wavelength of the first peak absorbency within a narrower range of 580 to 600nm and the transmittance of the optical filter smaller than .5 times the average transmittance in the blue wavelength region and the average transmittance in the green wavelength range being larger than transmittance at the first peak absorbency and smaller than the average transmittance in the blue wavelength range.

The range of 580 to 600 nm is narrower and hence is included in the range of 560 to 620nm, the optical filter has selective transmissivity with the wavelength of first peak absorbency as claimed and wavelength of second peak absorbency within the values of 500 to 550 nm as recited in the limitation of claim 3.  $T_{585}$ , the transmittance of

Art Unit: 2879

the optical filter at the first peak absorbency is smaller than 0.7 times  $T_{450}$  as stated in the limitation of claim 5. The blue wavelength range being 430 to 450 nm it would be within the general skill of a worker in the art to specify the transmittance at first peak absorbency  $T_{585}$  smaller than 0.5 times that in the blue wavelength range. The transmittance at the green wavelength range is approximately same as  $T_{525}$  and is larger than  $T_{585}$ , the transmittance at the first peak absorbency and is smaller than  $T_{450}$  as recited in claims 4 and 6.

Claims 13 and 15 recite essentially the same limitations as of claim 7 and 9 and hence are rejected for the same reasons as claims 7 and 9 (see rejection of claims 7 and 9).

Claim 14 discloses the same limitation as of claim 8 and hence is rejected for the same reason as claim 8.

Claim 16 discloses the same limitation as of claim 10 and hence is rejected for the same reason as claim 10.

Claim 17 discloses the same limitation as of claim 11 and hence is rejected for the same reason as claim 11.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1, 12 have been considered but are moot in view of the new ground(s) of rejection.



Art Unit: 2879

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

### ***Contact Information***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sikha Roy whose telephone number is (703) 308-2826. The examiner can normally be reached on Monday-Friday 8:00 a.m. – 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimeshkumar D. Patel can be reached on (703) 305-4794. The fax phone number for the organization is (703) 308-7382.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

S.R.

Sikha Roy  
Patent Examiner  
Art Unit 2879

  
ASHOK PATEL  
PRIMARY EXAMINER